

<b>Notice of References Cited</b>	Application/Control No. 09/750,765	Applicant(s)/Patent Under Reexamination SWARTZ, MITCHELL R.	
	Examiner Rick Palabrica	Art Unit 3641	Page 1 of 1

**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-5,215,631	06-1993	Westfall, Richard M.	117/78
	B	US-3,682,806	08-1972	Kinsella et	204/481
	C	US-5,318,675	06-1994	Patterson, James A.	205/628
	D	US-5,372,688	12-1994	Patterson, James A.	204/222
	E	US-4,735,762	04-1988	Lasche, George P.	376/101
	F	US-5,991,351	11-1999	Woolley, Robert D.	310/11
	G	US-6,024,935	02-2000	Mills et al.	376/100
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

**FOREIGN PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N	EP 0393461	10-1990	Japan	Yamazaki	
	O	WO 91/0695	05-1991	U.S.	Sadoway	
	P	WO 91/01036	01-1991	FR	Dufour	
	Q	WO 90/15416	12-1990	GB	Edwards	
	R	JP 6-148366	05-1994	Japan	Furuya	
	S					
	T					

**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Swartz, "Isotopic Fuel Loading Coupled to REactions at an Electrode," Trans. of Fusion TEchnology, Vol. 26, 12/1994, pages 74-77
	V	Swartz, "Quasi-One Dimensional Model of Electrochemical Loading of Isotopic Fuel into a Metal," Fusion TEchnology, Vol. 22, Sep. 1992, Pages 296-300.
	W	Swartz, "Generalized Isotopic Fuel Loading Equations," Cold Fusion Source Book, Inttl. Symposium on Cold Fusion and Advanced Energy Sources, Minsk, Belarus, May 25-26, 1994.
	X	

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

<i>Notice of References Cited</i>	Application/Control No. <b>09/750,765</b>	Applicant(s)/Patent Under Reexam	
	Examiner <b>Rick Palabrica</b>	Art Unit <b>3641</b>	Page 1 of 6

### U.S. PATENT DOCUMENTS

	Document Number <small>Country Code-Number-Kind Code</small>	Date <small>MM-YYYY<sup>1</sup></small>	Name	Classification <sup>2</sup>
A				
B				
C				
D				
E				
F				
G				
H				
I				
J				
K				
L				
M				

### FOREIGN PATENT DOCUMENTS

	Document Number <small>Country Code-Number-Kind Code</small>	Date <small>MM-YYYY<sup>1</sup></small>	Country	Name	Classification <sup>2</sup>
N					
O					
P					
Q					
R					
S					
T					

### NON-PATENT DOCUMENTS

	Include, as applicable: Author, Title, Date, Publisher, Edition or Volume, Pertinent Pages
U	David Braaten, 'Ridiculously' easy test yields claim of energy triumph, The Washington Times, p. A5
V	Malcolm W. Browne, 'Fusion' Claim Is Greeted With Scorn by Physicists, The New York Times, p. A1 and A22
W	Kreysa et al, A critical analysis of electrochemical nuclear fusion experiments, Journal of Electroanalytical Chemistry, Vol. 266, p. 437-450
X	Ohashi et al, Decoding of Thermal Data in Fleischmann & Pons Paper, Journal of Nuclear Science and Technology, Vol. 26, No. 7, p. 729-732

\* A copy of this reference is not being furnished with this Office action. See MPEP § 707.05(a).

<sup>1</sup> Dates in MM-YYYY format are publication dates.

<sup>2</sup> Classifications may be U.S. or foreign.

<b>Notice of References Cited</b>	Application/Control No. 09/750,765	Applicant(s)/Patent Under Reexam	
	Examiner Rick Palabrca	Art Unit 3641	Page 2 of 6

**U.S. PATENT DOCUMENTS**

	Document Number Country Code-Number-Kind Code	Date MM-YYYY <sup>1</sup>	Name	Classification <sup>2</sup>
A				
B				
C				
D				
E				
F				
G				
H				
I				
J				
K				
L				
M				

**FOREIGN PATENT DOCUMENTS**

	Document Number Country Code-Number-Kind Code	Date MM-YYYY <sup>1</sup>	Country	Name	Classification <sup>2</sup>
N					
O					
P					
Q					
R					
S					
T					

**NON-PATENT DOCUMENTS**

	Include, as applicable: Author, Title, Date, Publisher, Edition or Volume, Pertinent Pages
U	MisKelly et al, Analysis of the Published Calorimetric Evidence for Electrochemical Fusion of Deuterium in Palladium, Science, Vol. 246, No. 4931, p. 793-796
V	Lewis et al, Searches for low-temperature nuclear fusion of deuterium in palladium, Nature, Vol. 340, p. 525-530
W	George Chapline, Cold Confusion, UCRL-101583, p. 1-9
X	David Stipp, Georgis Group Outlines Errors That Led TO Withdrawl of 'Cold Fusion' Claims, The Wall Street Journal, p. B4

\* A copy of this reference is not being furnished with this Office action. See MPEP § 707.05(a)

<sup>1</sup> Dates in MM-YYYY format are publication dates.

<sup>2</sup> Classifications may be U.S. or foreign.

<i>Notice of References Cited</i>	Application/Control No. 09/750,765	Applicant(s)/Patent Under Reexam	
	Examiner Rick Palabrica	Art Unit 3641	Page 3 of 6

### U.S. PATENT DOCUMENTS

	Document Number Country Code-Number-Kind Code	Date MM-YYYY <sup>1</sup>	Name	Classification <sup>2</sup>
A				
B				
C				
D				
E				
F				
G				
H				
I				
J				
K				
L				
M				

### FOREIGN PATENT DOCUMENTS

	Document Number Country Code-Number-Kind Code	Date MM-YYYY <sup>1</sup>	Country	Name	Classification <sup>2</sup>
N					
O					
P					
Q					
R					
S					
T					

### NON-PATENT DOCUMENTS

	Include, as applicable: Author, Title, Date, Publisher, Edition or Volume, Pertinent Pages
U	Philip J. Hiltz, Significant Errors Reported In Utah Fusion Experiments, The Washington Post, p. A1 and A7
V	Associated Press, Panel Opposes Cold Fusion Efforts, The Washington Post, p. A14
W	Alber et al, Search for Neutrons from 'Cold Nuclear Fusion', Zeitschrift fur Physik A Atomic Nuclei, Vol. 333, p. 319-320
X	J. F. Cooke, Report of Foreign Travel of J. F. Cooke, Head, Solid State Theory Section, Solid State Division, ORNL/FTR-3341, p. 2-15

\* A copy of this reference is not being furnished with this Office action. See MPEP § 707.05(a).

<sup>1</sup> Dates in MM-YYYY format are publication dates

<sup>2</sup> Classifications may be U.S. or foreign.

<i>Notice of References Cited</i>	Application/Control No. 09/750,765	Applicant(s)/Patent Under Reexam	
	Examiner Rick Palabrica	Art Unit 3641	Page 4 of 6

### U.S. PATENT DOCUMENTS

	Document Number Country Code-Number-Kind Code	Date MM-YYYY <sup>1</sup>	Name	Classification <sup>2</sup>
A				
B				
C				
D				
E				
F				
G				
H				
I				
J				
K				
L				
M				

### FOREIGN PATENT DOCUMENTS

	Document Number Country Code-Number-Kind Code	Date MM-YYYY <sup>1</sup>	Country	Name	Classification <sup>2</sup>
N					
O					
P					
Q					
R					
S					
T					

### NON-PATENT DOCUMENTS

	Include, as applicable: Author, Title, Date, Publisher, Edition or Volume, Pertinent Pages
U	G. Horanyi, Some Basic Electrochemistry And The Cold Nuclear Fusion Of Deuterium, Journal of Radioanalytical Nuclear Chemistry, Letters, Vol. 137, No. 1, p. 23-28
V	Faller et al, Investigation Of Cold Fusion In Heavy Water, Journal of Radioanalytical Nuclear Chemistry, Letters, Vol. 137, No. 1, p. 9-16
W	Haidas et al, Search For Cold-Fusion Events, Solid State Communications, Vol. 72, No. 4, p. 309-313
X	Ziegler et al, Electrochemical Experiments in Cold Nuclear Fusion, Physical Review Letters, Vol. 62, No. 25, p. 2929-2932

\* A copy of this reference is not being furnished with this Office action. See MPEP § 707.05(a).

<sup>1</sup> Dates in MM-YYYY format are publication dates.

<sup>2</sup> Classifications may be U.S. or foreign.

<b>Notice of References Cited</b>	Application/Control No. <b>09/750,765</b>	Applicant(s)/Patent Under Reexam	
	Examiner <b>Rick Palabrica</b>	Art Unit <b>3641</b>	Page 5 of 6

**U.S. PATENT DOCUMENTS**

	Document Number Country Code-Number-Kind Code	Date MM-YYYY <sup>1</sup>	Name	Classification <sup>2</sup>
A				
B				
C				
D				
E				
F				
G				
H				
I				
J				
K				
L				
M				

**FOREIGN PATENT DOCUMENTS**

	Document Number Country Code-Number-Kind Code	Date MM-YYYY <sup>1</sup>	Country	Name	Classification <sup>2</sup>
N					
O					
P					
Q					
R					
S					
T					

**NON-PATENT DOCUMENTS**

	Include, as applicable: Author, Title, Date, Publisher, Edition or Volume, Pertinent Pages
U	Schrieder et al, Search for cold nuclear fusion in palladium-deuteride, Zeitschrift fur Physik B-Condensed Matter, Vol. 76, No. 2, p. 141-142
V	Price et al, Search for Energetic-Charged-Particle Emission from Deuterated Ti and Pd Foils, Physical Review Letters, Vol. 63, No. 18, p. 1926-1929
W	Cribier et al, Conventional Sources Of Fast Neutrons In "Cold Fusion" Experiments, Physics Letters B, Vol. 228, No. 1, p. 163-166
X	Shani et al, Evidence For A Background Neutron Enhanced Fusion In Deuterium Absorbed Palladium, Solid State Communications, Vol. 72, No. 1, p. 53-57

<sup>1</sup> A copy of this reference is not being furnished with this Office action. See MPEP § 707.05(a).

<sup>1</sup> Dates in MM-YYYY format are publication dates.

<sup>2</sup> Classifications may be U.S. or foreign.

<b>Notice of References Cited</b>	Application/Control No. <b>09/750,765</b>	Applicant(s)/Patent Under Reexam	
	Examiner <b>Rick Palabrica</b>	Art Unit <b>3641</b>	Page 6 of 6

### U.S. PATENT DOCUMENTS

	Document Number Country Code-Number-Kind Code	Date MM-YYYY <sup>1</sup>	Name	Classification <sup>2</sup>
A				
B				
C				
D				
E				
F				
G				
H				
I				
J				
K				
L				
M				

### FOREIGN PATENT DOCUMENTS

	Document Number Country Code-Number-Kind Code	Date MM-YYYY <sup>1</sup>	Country	Name	Classification <sup>2</sup>
N					
O					
P					
Q					
R					
S					
T					

### NON-PATENT DOCUMENTS

	Include, as applicable: Author, Title, Date, Publisher, Edition or Volume, Pertinent Pages
U	Associated Press, Physicist: Utah Cold-Fusion Gear Doesn't Work, The Washington Post, p. A3
V	Salamon et al, Limits on the emission of neutrons, gamma rays, electrons and protons from Pons/Fleischmann electrolytic cells, Nature, Vol. 344, p. 401-405
W	
X	

\* A copy of this reference is not being furnished with this Office action. See MPEP § 707.05(a).

<sup>1</sup> Dates in MM-YYYY format are publication dates.

<sup>2</sup> Classifications may be U.S. or foreign.